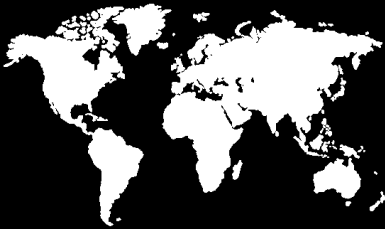




Release Update

MARCH - APRIL

Y2020



CONFIDENTIAL MEMO

SELECT POSITIONS FOR MULTI-STEP BULLET & LONG TRADE PROGRAMMING

THE MULTI-STEP TRADE PROGRAM IS A FLEXIBLE ACTIVITY THAT CONSISTS OF MULTIPLE PROGRAMS WHERE ENGAGED CLIENTS MAY CHOOSE TO PARTICIPATE IN SOME OR ALL IN A SERIES OF SHORT TEN-DAY AND THIRTY-DAY BULLET PROGRAMS, A FORTY-WEEK LONG-TERM WEEKLY PROGRAM, AND THE OPTION TO ENTER A LONGER-TERM EVERGREEN TRADE PROGRAM.

THIS DOCUMENT IS PROVIDED TO AN INTENDED AUDIENCE KNOWN TO US AS A COURTESY SOLELY AND IS PROVIDED FOR INFORMATIONAL AND EDUCATIONAL PURPOSES AND MATERIAL ONLY, WHEREBY PRINCIPALS ARE RESPONSIBLE FOR THEIR OWN DUE DILIGENCE AND DECISIONS. THIS DOCUMENT AND INFORMATION PROVIDED HEREIN, OR ACCOMPANYING IS NOT TO BE CONSTRUED AS A SOLICITATION OF FUNDS OR OTHERWISE. IF YOU ARE NOT THE INTENDED AUDIENCE OR PARTY SELECT, PLEASE DELETE THIS DOCUMENT ACCORDINGLY. IF YOU HAVE RECEIVED THIS MATERIAL VIA A THIRD PARTY AND NOT DIRECTLY FROM THE PROGRAMMING GROUP, AND WISH TO PARTICIPATE OR TO UNDERSTAND MORE, PLEASE REFER TO YOUR REFERRING PARTY OR INDICATE YOUR REFERRAL PARTY IF YOU MAKE DIRECT CONTACT. WE DO NOT TAKE WALK-INS AND DO NOT RESPOND TO PARTIES UNKNOWN TO US AND THOSE THAT ARE UNABLE TO INDICATE CONNECTION OR INTRODUCTION. BY READING BEYOND THIS POINT, YOU HEREBY ACKNOWLEDGE AND CONFIRM THAT THIS IS A COMMUNICATION OF PRIVILEGED AND CONFIDENTIAL INFORMATION. FURTHERMORE, THE MATERIAL HEREIN IS SUBJECT TO CHANGE AND AVAILABLE PROGRAMMING MAY BE ADDED, DELETED OR REPLACED AT THE DISCRETION OF THE PROGRAMMING GROUP. REFER TO THE INCLUDED DISCLOSURE, CONFIDENTIALITY & PRIVACY NOTICE THAT HEREIN APPLIES.

CONFIDENTIAL — NOT FOR DUPLICATION OR GENERAL CIRCULATION



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RELEASE

Y2020 / MARCH - APRIL

VERSION

CM-2003A

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BULLET & LONG PROGRAMMING:

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- » **SWIFT TRANSACTIONS FOR BANK INSTRUMENTS**
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- » **DTC FREE-DELIVERY OF MTN / BONDS**
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SWIFT TRANSACTIONS

OF BANK INSTRUMENTS

- SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

A SPECIAL MULTI-STEP PROGRAM WITH VARIOUS BULLET AND LONG PROGRAM ELEMENTS THAT MAY BE PROVIDED TO SELECT CLIENTS WITH ABILITY TO BLOCK OR DELIVER ACCEPTABLE INSTRUMENTS VIA BRUSSELS SWIFT SYSTEM

MEDIUM-TERM NOTE : MT-542
STANDBY LETTER OF CREDIT : MT-760 (* MT-542)
BANK GUARANTEE : MT-760 (* MT-542)
CERTIFICATE OF DEPOSIT : BY SPECIAL APPROVAL ONLY
BANK DRAFT : MT-110, MT-542 (SELECT CASES ONLY)
US TREASURY BONDS : MT-542 (OR AS AGREED)

AMOUNT

ONE-HUNDRED MILLION AND GREATER (OR AS AGREED)

NOTE: BANK INSTRUMENTS MUST BE MONETIZED WITH LTV APPLIED FOR SERVICING USE; THE PREFERRED MINIMUM IS 200M+ (LESSER AMOUNTS BY SPECIAL APPROVAL)

BANKS

MAJOR BANKS WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)

PROTOCOL

SWIFT MT-760, MT-542 (FREE DELIVERY), MT-110
(NO PRE-ADVICE, UNLESS AS REQUIRED FOR SELECTED RECEIVING BANKS OR TO PRE-ADVICE LARGER AMOUNTS)

MULTI-STEP PROGRAM PROFIT GUIDELINE (Informational; Historical)

THE MULTI-STEP PROGRAM THE CLIENT MAY SELECT COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)





» IF THE CLIENT IS SENDING A STANDBY LETTER OF CREDIT (SBLC) THEN COMPLIANCE OR RECEIVING BANK MAY REQUIRE A COPY OF THE BANK STATEMENT TO SHOW THAT THE SBLC IS CASH BACKED. IF UTILIZING A BANK GUARANTEE (BG), THE RECEIVER REQUIRES THE BG TO BE REGISTERED ON BRUSSELS EUROCLEAR SYSTEM. A BANK "RWA" MAY BE REQUESTED.

» LTV BASED ON MARKET, VALUATION, TIMING, INSTRUMENT, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT.

» TYPE OF SWIFT IS SELECTED BY COMPLIANCE *

COMPOUNDING

THE CLIENT MAY BE PROVIDED AN OPTION TO RE-INVEST A PORTION OF CLIENT NET PROFITS (COMPOUNDING) INTO THE NEXT STEP OF THE MULTI-STEP PROGRAM.

PROGRAM DISBURSEMENTS

ALL PROGRAMMING PROFITS TO BE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT ISSUED (IPCA) AGREEMENT).

DISCRETIONARY PERFORMANCE BONUS

UNLESS EXPRESSLY REQUESTED OR OTHERWISE AGREED, TYPICALLY NO 'DISCRETIONARY PERFORMANCE BONUS' FOR SWIFT BANK INSTRUMENTS (REFER TO GENERAL NOTES).



APPLICANT

IN ALL CASES THE PLATFORM PREFERS TO WORK WITH ACTUAL OWNERS OF ACCOUNTS AND BANK INSTRUMENTS; HOWEVER, UNDER CERTAIN CIRCUMSTANCES THIRD-PARTY (PROXY) TRANSACTIONS MAY ALSO BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE APPROVAL.



SUBMISSION

(1) PRELIMINARY REVIEW OF APPLICANT'S OWN "KYC" PACKAGE. FOLLOWING PRELIMINARY REVIEW AND INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE CURRENT PROOF OF FUNDS AND/OR PROOF OF INSTRUMENT (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC.; A SPECIFIC "DATA SHEET" MUST BE COMPLETED FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION IS THEN EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).





» COMPLIANCE GREATLY PREFERS ACCEPTING CLIENTS WITH EXISTING, ISSUED, OWNED AND VERIFIABLE INSTRUMENTS. IF THE APPLICANT DOES NOT CURRENTLY OWN, BUT RATHER WILL BE PURCHASING THE INSTRUMENT OR USING A 'PARTNER' TO ISSUE ON THEIR BEHALF, THEN THE APPLICANT MUST CLEARLY INDICATE SUCH IN THE SUBMISSION KYC AND ALSO INCLUDE A VIABLE CURRENT PROOF OF FUNDS (POF), THUS EVIDENCING THE FINANCIAL CAPABILITY TO PURCHASE OR CAUSE ISSUANCE OF THE INSTRUMENT (AND NOT RENT / LEASE FROM A 'PROVIDER' OR ARRANGER)

» PLATFORM RECEIVING / MONETIZING PREFER CLIENTS THAT HAVE: (A) THEIR OWN BANK ACCOUNT AT THE ISSUING AND SENDING BANK; (B) A DIRECT-RELATIONSHIP BANKER AT THE SENDING BANK; AND (C) ABILITY TO SHOW AN ACTUAL ACCOUNT STATEMENT WITH ACCOMPANYING BANK LETTER FROM WHICH THE INSTRUMENT WAS OR WILL BE ISSUED. UNLESS SPECIAL CONSIDERATION IS GIVEN OTHERWISE, PROPOSING TO USE A 'PROVIDER', ARRANGER OR INDIRECT PARTNER FOR ISSUING AND SENDING OF A BANK INSTRUMENT IS NOT PREFERRED.

» FOR INSTRUMENT APPROVAL, THE MONETIZERS TYPICALLY REQUIRE: (A) SCANNED COPY OF THE INSTRUMENT, ALONG WITH ANY/ALL CORRESPONDING BANKING DOCUMENTS OR EUROCLEAR PAGES ASSOCIATED WITH THE INSTRUMENT; (B) THE NAME AND CONTACT DETAILS OF THE CLIENT'S RELATIONSHIP BANK OFFICER OR THE COOPERATIVE CONFIRMING BANK OFFICER TO CONTACT; AND (C) THE KYC AND PASSPORT OF THE CURRENT BENEFICIAL OWNER. THE MONETIZER / RECEIVER MUST BE SATISFIED IN ADVANCE THAT A SUBJECT BANK INSTRUMENT IS VALID AND VERIFIABLE, AND THAT THE CLIENT HAS THE FINANCIAL AND BANKING CAPABILITY TO PERFORM.

» ALL BANK INSTRUMENTS WILL NEED TO BE BLOCKED TO BENEFICIAL FAVOR OF THE SELECTED RECEIVER. A BANK DRAFT MAY REQUIRE THE ACTUAL ISSUANCE IN THE NAME OF RECEIVER / MONETIZER (NOT CLIENT NAME). SWIFT TRANSMISSIONS ARE SENT TO SELECT COMMITMENT HOLDER, RECEIVER OR MONETIZER FOR LTV, SERVICING, AND MONETIZATION, WITH RESULTING SERVICEABLE 'FACE VALUE' USED WITHIN THE CLIENT'S PROGRAM.

» FOR FINAL RECEIVING COORDINATES, A COPY OF THE INSTRUMENT SHOULD ACCOMPANY THE ISSUING BANK'S READY-WILLING-ABLE (RWA) OR VERIFICATION LETTER, CONFIRMING INSTRUMENT ISSUANCE AND READINESS TO TRANSACT.



» ON A CASE-BY-CASE BASIS THE COMPLIANCE OFFICE MAY DEEM NECESSARY THE USE OF A SUPPORTING AGREEMENT, MONETIZATION DOCUMENT THAT MAY BE REQUIRED FOR CLIENT SIGNING, OR A LIMITED POWER OF ATTORNEY (POA) TO ALLOW THE PLATFORM TO SIGN ON CLIENT'S BEHALF.

» FOLLOWING RECEIPT AND VERIFICATION OF THE SWIFT, THE SENDING BANK MAY BE REQUIRED TO SEND THE HARD COPY OF THE BANK INSTRUMENT TO THE RECEIVING BANK VIA ACCEPTABLE COURIER.

» REFER TO GENERAL NOTES FOR ADDITIONAL DETAILS



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SWIFT TRANSFER

OF CASH FUNDS (ALL CURRENCIES ACCEPTED)

• SWIFT PROTOCOL

• DIRECT ISSUED
CONTRACT

TYPE

AMOUNT

BANKS

PROTOCOL

**MULTI-STEP PROGRAM
PROFIT GUIDELINE**
(Informational; Historical)

A SPECIAL MULTI-STEP PROGRAM WITH VARIOUS BULLET AND LONG PROGRAM ELEMENTS THAT MAY BE PROVIDED TO SELECT CLIENTS WITH ABILITY TO TRANSFER CASH FUNDS VIA THE STANDARD BRUSSELS SWIFT SYSTEM (OR BANK WIRE)

CASH FUNDS

PREFERENCE IS FOR STARTING WITH A 'PRIMING' AMOUNT: SUCH AS UP TO ONE MILLION (1M) TO FORTY-NINE (49M), OR AS AGREED.

TOTAL: ONE-HUNDRED MILLION (100M) TO UP TO TEN BILLION (10B) OR GREATER, AS AGREED, WITH FIRST TRANCHE FOLLOWING THE PRIMING AMOUNT TO BE PREFERRED AT FIVE-HUNDRED MILLION (500M) TO UP TO ONE BILLION (1B), OR AS AGREED, AND THEREAFTER INDIVIDUAL TRANCHE AMOUNTS AS AGREED.

NOTE: SMALLER TOTAL AMOUNTS MAY BE USED BY SPECIAL ACCEPTANCE, SUCH AS MINIMUM 30M, 50M, ETC.

MAJOR BANKS AND BRANCHES WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)

SWIFT MT-103 (NO PRE-ADVICE, UNLESS AGREED)
SWIFT MT-103 CREDIT TRANSFER (SELECT CASES ONLY)

THE MULTI-STEP PROGRAM THE CLIENT MAY SELECT COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5



YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)



» THE TRANSACTION MUST TYPICALLY COMMENCE WITH A 'PRIMING' AMOUNT OF 1- 49 MILLION, SO THE RECEIVING BANK ACCEPTS FROM SENDER AND DOES NOT INDEFINITELY HOLD UP OR DELAY A MUCH LARGER AMOUNT. THE PRIMING AMOUNT ALSO CONFIRMS ABILITY, ADDRESSES DUE DILIGENCE (DD), AND READIES RECEIVING FOR THE INTENDED LARGER AMOUNT(S), EITHER SCHEDULED IN FULL OR IN TRANCHES.

» FOR APPROVAL AND RECEIVING DD, THE CLIENT TRANSFERRING FUNDS PROVIDES PASSPORT, CIS AND PROOF OF FUNDS (TYPICALLY AN ACCOUNT STATEMENT, OR ACCEPTABLE EVIDENCE OF BANKING CAPABILITY OR POSITION). RECEIVING CANNOT ACCEPT UNKNOWN FUNDS OR CLIENT.

» THIS MUST BE A GENUINE, ACTUAL TRANSFER OF FUNDS USING THE BRUSSELS SWIFT SYSTEM OR BANK WIRE TO PLATFORM RECEIVING (OR AS AGREED).

» SPECIAL CASE-BY-CASE ARRANGEMENTS MAY BE PROVIDED FOR THE APPROVED CLIENT ONLY ABLE TO TRANSFER CREDIT VIA SWIFT MT-103 CREDIT TRANSFER (INQUIRE).

» ONCE RECEIVED, PLATFORM MAY ESTABLISH A SEPARATE SUB-ACCOUNT FOR THE CLIENT'S TRANSACTION.

SPECIFIC PROTOCOLS NOT ACCEPTABLE

PROTOCOL THAT ARE NOT ACCEPTABLE FOR PROPOSING CASH FUNDS TRANSFERS INCLUDE: (A) SERVER BASED 'POSTING' BY PROVIDING BANKING CODES; (B) INTERNAL ASSIGNMENT, WHEREBY A SCREEN WITH CODES DECRYPT IN RECEIVING PORTAL TO DOWNLOAD AND USE AS COLLATERAL; (C) INTERNAL SERVER ACCOUNTS; (D) SERVER 'PLEDGING' IN FAVOR OF RECEIVING BANKING BY MEANS OF VARIOUS SERVER-BASED SYSTEMS; (E) SWIFT IP, IPIP, IPID, S2S, KTT, FX4, POS, ETC.

PROGRAM DISBURSEMENTS

ALL PROGRAMMING PROFITS TO BE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT ISSUED (IPCA) AGREEMENT).



DISCRETIONARY PERFORMANCE BONUS



APPLICANT

SPECIAL CONSIDERATION PROVIDING A 'DISCRETIONARY PERFORMANCE BONUS' UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES), [ALTHOUGH IT WILL BE QUESTIONED AS TO WHY A CLIENT TRANSFERRING CASH FUNDS WOULD BE REQUESTING FUNDS RETURNED AS BONUS FROM THE TRANSFER].



SUBMISSION

IN ALL CASES THE PLATFORM PREFERS TO WORK WITH ACTUAL OWNERS OF ACCOUNTS; HOWEVER, UNDER CERTAIN CIRCUMSTANCES THIRD-PARTY (PROXY) TRANSACTIONS MAY ALSO BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE APPROVAL.

(1) PRELIMINARY REVIEW OF APPLICANT'S OWN "KYC" PACKAGE. FOLLOWING PRELIMINARY REVIEW AND INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE CURRENT PROOF OF FUNDS AND/OR PROOF OF INSTRUMENT (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC.; A SPECIFIC "DATA SHEET" MUST BE COMPLETED FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION IS THEN EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).



» REFER TO GENERAL NOTES FOR ADDITIONAL DETAILS



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DTC FREE-DELIVERY

OF MEDIUM-TERM NOTES / BONDS

- NON-SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

MEDIUM-TERM NOTE (MTN)

ALSO, US TREASURY BONDS, AND OTHER APPROVED BONDS

AMOUNT

ONE-HUNDRED MILLION AND GREATER

BANKS

**MAJOR BANKS AND BROKERAGES WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)**

PROTOCOL

**MULTI-STEP PROGRAM
PROFIT GUIDELINE**
(Informational; Historical)

DTC FREE-DELIVERY (NO PRE-ADVICE)

THE MULTI-STEP PROGRAM COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)



» THIS TRANSACTION TYPE CAN BE SETTLED QUICKLY ONCE THE CLIENT PROVIDES GENUINE FREE-DELIVERY TRANSFER AND SCREENING VERIFICATION.

» THIS MUST BE A GENUINE, ACTUAL "FREE-DELIVERY" OF A USING THE STANDARD DTC SYSTEM (NOT 'BLOCKING' VIA DTC; NO SERVER 'DOWNLOADING'; NO 'POST & BLOCK'; NO NEED FOR SPECIAL 'BANKING CODES'; NO 'PLEDGING'; NO



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'INTERNAL ASSIGNMENTS'; NO 'PORTAL COLLATERAL'; NO 'LINKING OR BLOCKING', ETC.). SIMPLY, THE MTN / BONDS MUST BE CLEARED AND RECEIVED TO RECEIVING ACCOUNT WITHOUT RECEIVER INPUT OF ANY KIND.

» LTV BASED ON MARKET, VALUATION, TIMING, INSTRUMENT, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT.

COMPOUNDING

THE CLIENT MAY BE PROVIDED AN OPTION TO RE-INVEST A PORTION OF CLIENT NET PROFITS (COMPOUNDING) INTO THE NEXT STEP OF THE MULTI-STEP PROGRAM.

PROGRAM DISBURSEMENTS

ALL PROGRAMMING PROFITS TO BE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT-ISSUED (IPCA) AGREEMENT).

DISCRETIONARY PERFORMANCE BONUS

CONSIDERATION FOR A 'DISCRETIONARY PERFORMANCE BONUS' UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES).



APPLICANT

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SUBMISSION

CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE PROOF OF INSTRUMENT; SPECIAL TRANSACTION DOCUMENTATION IS EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).



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EUROCLEAR FREE-DELIVERY

OF MEDIUM-TERM NOTES / BONDS

- NON-SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

MEDIUM-TERM NOTE (MTN)

ALSO, US TREASURY BONDS, AND OTHER APPROVED BONDS

AMOUNT

ONE-HUNDRED MILLION AND GREATER

BANKS

MAJOR BANKS AND BROKERAGES WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)

PROTOCOL

**MULTI-STEP PROGRAM
PROFIT GUIDELINE**
(Informational; Historical)

EUROCLEAR FREE-DELIVERY (NO PRE-ADVICE)

THE MULTI-STEP PROGRAM COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)



» THIS TRANSACTION TYPE CAN BE SETTLED QUICKLY ONCE THE CLIENT PROVIDES GENUINE FREE-DELIVERY TRANSFER AND SCREENING VERIFICATION.

» THIS MUST BE A GENUINE, ACTUAL “FREE-DELIVERY” OF A USING THE STANDARD EUROCLEAR SYSTEM (NOT ‘BLOCKING’ VIA DTC; NO SERVER ‘DOWNLOADING’; NO ‘POST & BLOCK’; NO NEED FOR SPECIAL ‘BANKING CODES’;



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NO 'PLEDGING'; NO 'INTERNAL ASSIGNMENTS'; NO 'PORTAL COLLATERAL'; NO 'LINKING OR BLOCKING', ETC.). SIMPLY, THE MTN / BONDS MUST BE CLEARED AND RECEIVED TO RECEIVING ACCOUNT WITHOUT RECEIVER INPUT OF ANY KIND.

» LTV BASED ON MARKET, VALUATION, TIMING, INSTRUMENT, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT.

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THE CLIENT MAY BE PROVIDED AN OPTION TO RE-INVEST A PORTION OF CLIENT NET PROFITS (COMPOUNDING) INTO THE NEXT STEP OF THE MULTI-STEP PROGRAM.

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ALL PROGRAMMING PROFITS TO BE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT-ISSUED (IPCA) AGREEMENT).

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CONSIDERATION FOR A 'DISCRETIONARY PERFORMANCE BONUS' UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES).



APPLICANT

IN ALL CASES THE PLATFORM PREFERS TO WORK WITH ACTUAL OWNERS OF ACCOUNTS AND BANK INSTRUMENTS; HOWEVER, UNDER CERTAIN CIRCUMSTANCES THIRD-PARTY (PROXY) TRANSACTIONS MAY ALSO BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE APPROVAL.



SUBMISSION

CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE PROOF OF INSTRUMENT; SPECIAL TRANSACTION DOCUMENTATION IS EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).



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ACCOUNT-TO-ACCOUNT TRANSFER

OF MEDIUM-TERM NOTES / BONDS

- NON-SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

A SPECIAL MULTI-STEP PROGRAM WITH VARIOUS BULLET AND LONG PROGRAM ELEMENTS THAT MAY BE PROVIDED TO SELECT CLIENTS WITH ABILITY TO PRE-ARRANGE RECEIVER TO “PULL” TRANSFER BETWEEN TWO SECURITIES ACCOUNTS.

MEDIUM TERM NOTES
US TREASURY BONDS (AND OTHER ACCEPTABLE BONDS)
CERTIFICATES OF DEPOSIT (IN SOME CASES)

AMOUNT

ONE-HUNDRED MILLION AND GREATER (OR AS AGREED)

BANKS

MAJOR BANKS AND BROKERAGES, OR AS APPROVED

PROTOCOL

“PULL” TRANSFER, BETWEEN SECURITIES / BROKERAGE ACCOUNTS. (NO PRE-ADVICE).

MULTI-STEP PROGRAM
PROFIT GUIDELINE
(Informational; Historical)

THE MULTI-STEP PROGRAM THE CLIENT MAY SELECT COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)



» THE CLIENT MUST DIRECT HIS BANKER OR BROKER TO CREATE A SUB-ACCOUNT (OR A NEW ACCOUNT) IN THE MONETIZER'S NAME (TO BE ADVISED), THEN MOVE THE MTN/BOND TO THE (SUB)ACCOUNT AND PROVIDE THE (SUB)ACCOUNT COORDINATES SO THAT THE RECEIVER MAY LOCATE THE (SUB)ACCOUNT WITHIN SYSTEM AND “PULL” THE MTN/BOND INTO RECEIVING ACCOUNT.



CONFIDENTIAL — NOT FOR DUPLICATION OR GENERAL CIRCULATION
REFER TO SEPARATE NOTICE OF DISCLOSURE, CONFIDENTIALITY & PRIVACY

» THIS DOES NOT CONCERN A “BANK ACCOUNT”, RATHER THE ACTUAL SECURITIES ACCOUNT AT THE BANK OR BROKERAGE THAT THE MTN/BOND IS BEING HELD.

» LTV BASED ON MARKET, VALUATION, TIMING, INSTRUMENT, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT.

PROGRAM DISBURSEMENTS

ALL PROGRAMMING PROFITS ARE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT-ISSUED (IPCA) AGREEMENT).

DISCRETIONARY PERFORMANCE BONUS

CONSIDERATION FOR A ‘DISCRETIONARY PERFORMANCE BONUS’ UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES).



APPLICANT

IN ALL CASES THE PLATFORM PREFERS TO WORK WITH ACTUAL OWNERS OF ACCOUNTS AND BANK INSTRUMENTS; HOWEVER, UNDER CERTAIN CIRCUMSTANCES THIRD-PARTY (PROXY) TRANSACTIONS MAY ALSO BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE APPROVAL.



SUBMISSION

(1) PRELIMINARY REVIEW OF APPLICANT’S OWN “KYC” PACKAGE. FOLLOWING PRELIMINARY REVIEW AND INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE CURRENT PROOF OF FUNDS AND/OR PROOF OF INSTRUMENT (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC.; A SPECIFIC “DATA SHEET” MUST BE COMPLETED FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION IS THEN EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).



» REFER TO GENERAL NOTES FOR ADDITIONAL DETAILS



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SUB-ACCOUNT TRADE SERVICING

OF CASH MOVED INTO NEWLY CREATED SUB-ACCOUNT

- NON-SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

CASH FUNDS

AMOUNT

FIVE-HUNDRED MILLION AND UP (OR AS AGREED)

BANKS

MAJOR BANKS AND BRANCHES WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)

PROTOCOL

SUB-ACCOUNT TRADE SERVICING ESTABLISHES A VERY SELECT AND DIRECT COLLABORATION WITH THE MONETIZER / TRADER, WITHIN THE CLIENT'S OWN FAMILIAR AND SAFE BANKING ENVIRONMENT, PROVIDES FOR SECURITY WITH A COLLATERAL GUARANTEE, ALLOWS FULL CLIENT OVERSIGHT, INVOLVES NO SWIFT OR BLOCKING WHATSOEVER, AND CAN BE CANCELLED BY THE CLIENT WHENEVER DESIRED.

(SEE PROCEDURAL NOTES BELOW)

MULTI-STEP PROGRAM PROFIT GUIDELINE (Informational; Historical)

THE MULTI-STEP PROGRAM THE CLIENT MAY SELECT COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)





SIMPLE PROCEDURES FOR SUB-ACCOUNT TRADE SERVICING:

» CLIENT (ACCOUNT OWNER) DIRECTS BANK OFFICER TO CREATE A SUB-ACCOUNT FOR AND IN NAME OF MONETIZER / TRADER (THE SUB-ACCOUNT MAY BE SOLE-SIGNATORY, OR DUAL-SIGNATORY WITH CLIENT);

» ONCE THE SUB-ACCOUNT IS CREATED, THE CLIENT MOVES SELECTED CASH FUNDS FROM THE MAIN ACCOUNT INTO THE NEW SUB-ACCOUNT (NOTE: THE SUB-ACCOUNT HAS NO NEED OR POWER TO TRANSFER CASH OUT, BUT MUST GIVE THE MONETIZER / TRADER ACCESS AND CONTROL FOR SERVICING / DIRECTION, AND DIRECT (OR INDIRECT WITH CLIENT) ACCESS TO THE RELATIONSHIP BANK OFFICER);

» WITH CLIENT APPROVAL THE MONETIZER / TRADER WILL THEN APPLY FUNDS IN THE SUB-ACCOUNT TO ACQUIRE U.S. TREASURY NOTES, WHICH ARE DELIVERED TO THE MONETIZER'S SELECT SECURITIES ACCOUNT FOR THE CLIENT'S OWN TRANSACTION (NOTE: U.S. TREASURY NOTES MUST BE HELD IN A SECURITIES ACCOUNT, NOT A BANK ACCOUNT, THUS THE SUB-ACCOUNT'S MONETIZER TAKES CARE OF THIS ACTIVITY FOR THE CLIENT);

» THE U.S. TREASURY NOTES WILL BE PROMPTLY MONETIZED, AND THEN THE CLIENT'S PROGRAM CAN START. THE CLIENT'S PROGRAMMED NET PROFITS CAN THEN BE SENT BACK TO THE SUB-ACCOUNT, OR THE CLIENT'S MAIN ACCOUNT, OR ANY RECEIVING ACCOUNT THE CLIENT DESIRES.

» FOR THE CLIENT'S BENEFIT, THE U.S. TREASURY NOTES ACQUIRED WITH MONETIZER PROVIDES CONFIDENCE AND GUARANTEE FOR THE PERFORMANCE OF THE CLIENT'S OWN PROGRAMMED TRANSACTION;

» NOTE: FOR ANY REASON IF NEEDED THE TREASURY NOTES ARE RETURNED TO THE CLIENT AND/OR VALUE THEREOF, AND THE SUB-ACCOUNT MAY BE CLOSED BY THE CLIENT AT ANY TIME.

» IN SUMMARY, THIS SPECIAL 'SUB-ACCOUNT TRADE SERVICING' PROGRAM ESTABLISHES A RARE AND DIRECT COLLABORATION WITH A MONETIZER / TRADER, WITHIN THE CLIENT'S OWN FAMILIAR AND SAFE BANKING ENVIRONMENT, PROVIDES FOR SECURITY WITH A COLLATERAL GUARANTEE, ALLOWS FULL CLIENT OVERSIGHT, INVOLVES NO SWIFT OR BLOCKING WHATSOEVER, AND CAN BE CANCELLED BY THE CLIENT WHENEVER DESIRED.



PROGRAM DISBURSEMENTS

ALL PROGRAMMING PROFITS ARE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT ISSUED (IPCA) AGREEMENT).

DISCRETIONARY PERFORMANCE BONUS

SPECIAL CONSIDERATION PROVIDING A 'DISCRETIONARY PERFORMANCE BONUS' UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES).

MONETIZATION

THE ACQUIRED U.S. TREASURY NOTE WILL BE MONETIZED. SPECIFIC MONETIZATION PROCESSES AND PROPRIETARY RELATIONSHIPS ARE UTILIZED WHEREBY ONCE MONETIZED THE RESULTING PROCEEDS ARE USED IN THE CLIENT'S PROGRAM. LTV BASED ON MARKET, VALUATION, TIMING, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT.



APPLICANT

FOR THIS TRANSACTION THE PLATFORM MUST WORK DIRECTLY WITH ACTUAL OWNERS OF BANK ACCOUNTS.



SUBMISSION

(1) PRELIMINARY REVIEW OF APPLICANT'S OWN "KYC" PACKAGE. FOLLOWING PRELIMINARY REVIEW AND INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE RECENT / CURRENT PROOF OF FUNDS (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC.; A SPECIFIC "DATA SHEET" MUST BE COMPLETED FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION IS THEN EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPP) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).



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LEDGER-TO-LEDGER (L2L) TRANSFER

OF CASH FUNDS AND CERTIFICATE OF DEPOSITS

- NON-SWIFT PROTOCOL
- DIRECT ISSUED CONTRACT

TYPE

A SPECIAL MULTI-STEP PROGRAM WITH VARIOUS BULLET AND LONG PROGRAM ELEMENTS THAT MAY BE PROVIDED TO SELECT CLIENTS WITH ABILITY TO TRANSFER CASH FUNDS OR CERTIFICATE OF DEPOSITS VIA LEDGER-TO-LEDGER TO PLATFORM RECEIVING

CASH FUNDS (NO GREY SCREEN ACCOUNTS)
CERTIFICATE OF DEPOSIT (SELECT CASES)

AMOUNT

TWO-HUNDRED MILLION AND UP, PER TRANSFER DELIVERY
(OR AS AGREED)

BANKS

MAJOR BANKS AND BRANCHES WORLDWIDE ACCEPTED
(OR AS APPROVED BY COMPLIANCE OFFICE)

PROTOCOL

BANK LEDGER TO BANK LEDGER (NO PRE-ADVICE)

MULTI-STEP PROGRAM PROFIT GUIDELINE (Informational; Historical)

THE MULTI-STEP PROGRAM THE CLIENT MAY SELECT COMMENCES FOLLOWING VALID PROTOCOL VERIFICATION WITH CLIENT PROFIT (1): 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (2): SECOND 10-DAY BULLET TRADE PROGRAM (2X THE FACE VALUE OF RECEIVED, HISTORICAL) THEN; PROFIT (3): 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (4): SECOND 30-DAY BULLET TRADE PROGRAM (8X THE FACE VALUE RECEIVED, HISTORICAL) THEN; PROFIT (5): 40-WEEKS LONG-TERM TRADE PROGRAM (1X THE FACE VALUE RECEIVED WEEKLY, HISTORICAL) THEN; PROFIT (6): THE OPTION TO ENTER 3-5 YEARS EVERGREEN TRADE PROGRAM (UP TO 1X THE FACE VALUE RECEIVED WEEKLY); (HISTORICAL)



» THIS TRANSACTION TYPE CAN BE SETTLED QUICKLY ONCE THE CLIENT PROVIDES BANK LEDGER-TO-LEDGER (L2L) TRANSFER EVIDENCE AND VERIFICATION.



PROGRAM DISBURSEMENTS

» THIS MUST BE A GENUINE, ACTUAL TRANSFER OF FUNDS (NOT SIMPLY A 'COLLATERAL' OR 'CREDIT ENHANCEMENT', AND NOT A 'DOWNLOAD' REQUEST CIRCUMSTANCE).

ALL PROGRAMMING PROFITS ARE PAID OUT ON A WEEKLY BASIS. (NOTE: PROGRAM CONTENT, PROFIT STRUCTURE, SCHEDULES, PROCEDURES, PROTECTIONS, SAMPLES, ETC. ARE DETAILED IN THE DIRECT-ISSUED (IPCA) AGREEMENT).

DISCRETIONARY PERFORMANCE BONUS

CONSIDERATION FOR A 'DISCRETIONARY PERFORMANCE BONUS' UP TO THREE PERCENT OUTSIDE OF NORMAL PROGRAMMING SCOPE MAY BE CONSIDERED AND PROVIDED BY TRADE MANAGEMENT (REFER TO GENERAL NOTES).



APPLICANT

IN ALL CASES THE PLATFORM PREFERS TO WORK WITH ACTUAL OWNERS OF ACCOUNTS AND BANK INSTRUMENTS; HOWEVER, UNDER CERTAIN CIRCUMSTANCES THIRD-PARTY (PROXY) TRANSACTIONS MAY ALSO BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE APPROVAL.



SUBMISSION

(1) PRELIMINARY REVIEW OF APPLICANT'S OWN "KYC" PACKAGE. FOLLOWING PRELIMINARY REVIEW AND INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE CURRENT PROOF OF FUNDS AND/OR PROOF OF INSTRUMENT (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC.; A SPECIFIC "DATA SHEET" MUST BE COMPLETED FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION IS THEN EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS.



AGENTS

CLIENT WILL AUTHORIZE AND ACKNOWLEDGE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), PAID BY THE PLATFORM (NOT CLIENT SHARE).

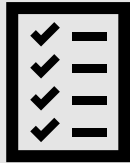


» REFER TO GENERAL NOTES FOR ADDITIONAL DETAILS



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GENERAL NOTES

- IMPORTANT
SUPPLEMENTAL
INFORMATION



SUBMISSION
& PROCESSING

REMEMBER, IT IS A PRIVILEGE TO BE INVITED TO PARTICIPATE IN A PROJECT FUNDING AND PROGRAMMED TRANSACTION, IT IS NOT A RIGHT. THE PROGRAM AND COMPLIANCE MANAGERS ASSESS A CONTINUAL STREAM OF ASPIRING CLIENTS, WITH APPROVAL FAVORING THE MOST QUALIFIED AND RESPONSIVE APPLICANTS PROVIDING BEST PAPERWORK AND COOPERATION.

SAMPLE SUBMISSION AND PROCESSING DOCUMENTS ARE AVAILABLE UPON REQUEST.

YOU ARE WELCOME TO MAKE ANY SERIOUS INQUIRIES OR SUBMISSIONS OF CLIENT MATERIAL FOR COMPLIANCE OFFICE REVIEW AND GUIDANCE / RESPONSE.

BASIC PROCESS: (1) PRELIMINARY REVIEW OF APPLICANT'S OWN "KYC" PACKAGE AND BANKING MATERIAL. FOLLOWING PRELIMINARY REVIEW AND GENERAL INTEREST, (2) IF REQUESTED, THE CLIENT MAY BE REQUIRED TO COMPLETE A CURRENT-DATED SPECIFIC KYC PACKAGE, WHICH MUST INCLUDE CURRENT PROOF OF FUNDS AND/OR PROOF OF INSTRUMENT (OR AS SPECIFIED), AUTHORITY TO VERIFY, ETC., AND/OR PROVIDE SUPPORTING MATERIAL; ONCE APPROVED A SPECIFIC "DATA SHEET" MUST BE COMPLETED PRIOR FOR CONTRACT PREPARATION; (3) SPECIAL TRANSACTION DOCUMENTATION WILL BE EXCLUSIVELY PREPARED FOR THE CLIENT, INCLUDING THE (IPCA) CONTRACT, SAMPLES, AND (IFPPO) PROTECTION FOR REGISTERED CONSULTANTS. FINAL INSTRUCTIONS AND/OR RECEIVING COORDINATES ARE PROVIDED UPON CONTRACT EXECUTION AND CLIENT READINESS CONFIRMATION.



Failure to provide full disclosure will disqualify the disingenuous. It is felony fraud to submit documents or financial instruments that are forged, altered or counterfeit. such papers can be promptly referred to the appropriate enforcement agencies for investigation.



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REFER TO SEPARATE NOTICE OF DISCLOSURE, CONFIDENTIALITY & PRIVACY



APPLICANT & CLIENT

IN ALL CASES THE PLATFORM PREFERS TO WORK AND CONTRACT DIRECTLY WITH RESPONSIBLE, ACTUAL OWNERS OF BANK ACCOUNTS AND GENUINE BANK INSTRUMENTS

IN RARE CIRCUMSTANCES, A PROXY OR THIRD-PARTY TRANSACTION MAY BE ACCEPTED WITH COMPLIANCE OFFICE AND DUE DILIGENCE (DD) APPROVAL. ANY CLIENT PARTY FORMALLY EXECUTING A CONTRACT IS DIRECTLY RESPONSIBLE FOR PERFORMING THERETO.

ABSOLUTE PRIVACY, TRUST AND CONFIDENTIALITY ARE KEY ELEMENTS OF EVERY PROGRAMMING CONTRACT. A CLIENT (OR AGENT) THAT BREAKS SUCH WILL PRECIPITATE INSTANT CANCELLATION OF CONTRACT AND/OR RELATIONSHIP.

MULTI-STEP PROGRAM

THE MULTI-STEP TRADE PROGRAM THE CLIENT MAY SELECT WOULD AUTOMATICALLY STEP IN SEQUENCE UNLESS OTHERWISE AGREED IN ADVANCE. A MINIMUM ONE YEAR IN PROGRAM DURATION IS REQUIRED, UNLESS OTHERWISE AGREED IN ADVANCE. THE TERM "DAYS" TYPICALLY REFERS TO BANKING DAYS, NOT CALENDAR DAYS. THE CONTRACTED CLIENT WILL BE EXPECTED TO PERFORM WITHIN SEVEN DAYS OF CONTRACT EXECUTION AND/OR PROVIDED READINESS CONFIRMATION.

MONETIZATION

FOR BANK INSTRUMENTS AND CERTAIN SWIFT TYPES AND TRANSACTIONS THE RECEIVED OR DELIVERED ASSET MUST BE MONETIZED. SPECIFIC MONETIZATION PROCESSES AND PROPRIETARY RELATIONSHIPS ARE UTILIZED WHEREBY ONCE MONETIZED THE RESULTING PROCEEDS ARE USED IN THE CLIENT'S PROGRAM. LTV BASED ON MARKET, TIMING, VALUATION, INSTRUMENT, AMOUNT, SERVICING AND MONETIZATION ARRANGEMENT. MONETIZATION IS AN INTEGRAL FUNCTION OF THE PROCESS. IN MANY INSTANCES THE SWIFT OR DELIVERY IS SENT DIRECTLY TO THE TRANSACTIONAL MONETIZER, OR COMMITMENT HOLDER.

DISCRETIONARY PERFORMANCE BONUS

THE FOCUS OF THE MULTI-STEP PROGRAM IS THE COMMENCEMENT AND PROPER PROCESSING OF A MASTER STRUCTURED PROGRAM FOR THE PARTICIPATING CLIENT. FOR CERTAIN PROGRAM / PROTOCOL TYPES, WHERE AND WHEN POSSIBLE, THE TRADING MANAGER WILL MAKE AN EFFORT TO EFFECTUATE A DISCRETIONARY PERFORMANCE BONUS, WHICH IS A SEPARATE ADVANCE PAYMENT, FOR THE COOPERATIVE AND PROMPTLY PERFORMING CLIENT. THIS SHOULD ALWAYS BE VIEWED AS A SPECIAL CONSIDERATION PROVIDED BY THE TRADING MANAGER AND ONLY WHEN



POSSIBLE, AND NOT A DEFINITE CIRCUMSTANCE. A PROSPECTIVE APPLICANT SHOULD NOT SUBMIT OR INTEND TO PARTICIPATE WITH AN EXPECTATION OR URGENCY TO PRESS FOR A ONE-TIME FAST BONUS OR ADVANCE PAYMENT.

SWIFT PROTOCOL

ALL SWIFTS MUST BE SENT VIA BRUSSELS SWIFT SYSTEM (NOT VIA BANK SCREEN, NOT VIA SWIFT-NET, NOT VIA INTERBANK SCREEN, NOT VIA BANK SERVER, NOT VIA DOWNLOAD, NOT VIA EUROCLEAR, NOT VIA DTC, NOT VIA CLEARSTREAM, ETC.), AND TRANSMITTED VIA PRIORITY SWIFT 'URGENT EXPRESS - SAME DAY WIRE' (NOT NORMAL PRIORITY), AND MUST BE VERIFIED AND AUTHENTICATED BY SENDING AND RECEIVING BANK OFFICERS AS GENUINE. IF IT IS COMMUNICATED THAT AN ANTICIPATED SWIFT IS NOT RECEIVED WITHIN A REASONABLE TIME PERIOD, IT IS THE SOLE RESPONSIBILITY OF THE CLIENT / SENDING BANKER TO PUT A TRACE ON THE SWIFT TO LOCATE IN SYSTEM; IT IS THE CLIENT'S RESPONSIBILITY TO MAKE SURE A VALID SWIFT IS SENT AND RECEIVED. IT IS NOT THE RESPONSIBILITY OF THE RECEIVER TO SPEND INDEFINITE TIME AND EFFORT LOOKING FOR A SWIFT THAT HAS NOT ARRIVED. ONCE A GENUINE SWIFT IS RECEIVED AND CONFIRMED THEN, AND ONLY THEN, CAN THE PROGRAMMING ACTIVITY BEGIN FOR THE CLIENT.

SPECIFIC PROTOCOLS NOT ACCEPTABLE

PROTOCOL THAT ARE NOT ACCEPTABLE IN TRANSACTING WITH MTN, BONDS, BANK INSTRUMENTS OR CASH FUNDS INCLUDE: (A) SERVER BASED "POST & BLOCK", BY PROVIDING BANKING CODES; (B) INTERNAL ASSIGNMENT, WHEREBY A BLOCKED SCREEN WITH CODES DECRYPT IN RECEIVING PORTAL TO DOWNLOAD AND USE AS COLLATERAL; (C) INTERNAL SERVER ACCOUNTS FOR LINKING AND BLOCKING; (D) SERVER BLOCKING OR PLEDGING IN FAVOR OF RECEIVING BANKING BY MEANS OF VARIOUS SERVER-BASED SYSTEMS; (E) SWIFT IP, IPIP, IPID, S2S, KTT, FX4, POS, ETC.

AGENT RESPONSIBILITY

PLATFORM AND BANKING COMPLIANCE REVIEWING THE APPLICANT / CASE WILL EXPECT THAT THE AGENT(S) HAVE DIRECTLY COMMUNICATED WITH AND PERFORMED THEIR OWN PRELIMINARY DUE DILIGENCE (DD) ON THEIR CLIENT / CASE PRIOR TO FORMAL SUBMISSION. THE RESPONSIBLE AGENT SHOULD BE CRITICALLY AWARE OF THE MATERIAL THEY MAY CIRCULATE IN REPRESENTING THEIR CLIENT, AS IT IS FELONY FRAUD TO SUBMIT FORGED, ALTERED OR COUNTERFEIT DOCUMENTS OR FINANCIAL INSTRUMENTS.

SUBMISSION OF APPLICATION DOCUMENTS TO MORE THAN ONE SERVICING PROGRAM GROUP AT A TIME IS TERMED



'SHOPPING'. CLIENTS AND REPRESENTING AGENTS ENABLING SO WILL BE ACCEPTED BY NONE AND REJECTED BY ALL. THE RESPONSIBLE AGENT INSISTS THAT A CLIENT HONESTLY DISCLOSE IN ADVANCE SUBMISSION HISTORY AND RESULT.

AS PART OF THE AGENT'S PRELIMINARY CLIENT / CASE 'DD', THE REFERRING AGENT MUST NOT SUBMIT AN APPLICANT WHO IS NOT ACTUALLY READY TO TRANSACT. IT MOST CASES IT WILL BE EXPECTED THAT THE CLIENT BE AVAILABLE TO CONFIRM THE SUBMISSION AND INTENTION TO TRANSACT. THE CASE PROCESSING, APPROVING, AND PROGRAMMING OF POSITIONS FOR CLIENTS ARE BY SPECIAL INVITATION AND INVOLVE DISCUSSIONS, ARRANGEMENTS AND STRUCTURING ACROSS NUMEROUS ENTITIES, NOTWITHSTANDING THE PLATFORM'S VALUABLE TIME AND RESOURCES SHOULD NOT BE WASTED ON ANY APPLICANT THAT IS NOT FINANCIALLY CAPABLE OR READY TO TRANSACT WITHOUT DELAY.



AGENT PROTECTION

IN ACKNOWLEDGING ROLES OF THE PARTICIPATING AGENT TEAM, THE CLIENT WILL AUTHORIZE A MAXIMUM OF FIVE PERCENT FEE FOR THE TOTAL REFERRING AGENT GROUP(S), WHICH IS PAID BY THE PLATFORM (NOT CLIENT SHARE), AND AS REFERENCED IN THE PROGRAM'S CONTRACT (IPCA) FEE PROTECTION SUB-DOCUMENT (IFPPO) AND LODGED WITH THE TRANSACTION MANAGER AND SELECT PAYMASTER. THE PLATFORM WILL ACCOMMODATE ONLY FIVE PERCENT FROM THE SHARE FROM THE PLATFORM, AND THE FEE PROTECTION (IFPPO) CANNOT EXCEED FIVE PERCENT. AMOUNTS OVER THE STANDARD FIVE PERCENT ARE FROWNED UPON AND TYPICALLY NOT ALLOWED. IN RARE SITUATION IF A DIRECTLY COOPERATING CLIENT MAKES A FORMAL REQUEST FOR ADDITIONAL AMOUNTS TO BE PAID TO AGENTS, THEN SUCH WOULD BE TAKEN FROM CLIENT'S OWN NET PROFITS AND MUST BE REFERENCED IN THE 'PROFIT DISBURSEMENT' (SECTION 8) OF THE CONTRACT OR DIRECTED BY SPECIAL DISBURSEMENT ORDER PRIOR TO PROGRAM DISBURSEMENTS. BE ADVISED THAT THE CLIENT WILL BE CONTACTED PRIOR TO INITIAL DISBURSEMENT TO RECONFIRM PAYMENT ORDERS.



NOTICE

IN ALL CASES THE PLATFORM PREFERS TO WORK AND CONTRACT DIRECTLY WITH RESPONSIBLE, ACTUAL OWNERS OF GENUINE, ISSUED BANK INSTRUMENTS AND BANK ACCOUNTS. IF THE CLIENT DOES NOT CURRENTLY OWN A BANK INSTRUMENT, BUT RATHER WILL BE PURCHASING FROM HIS BANK, THEN THE CLIENT SHOULD PROVIDE FOR COMPLIANCE A PROOF OF FUNDS EVIDENCING THE CLIENT'S FINANCIAL CAPABILITY TO PURCHASE THE INSTRUMENT



(NOT RENT / LEASE FROM A 'PROVIDER'). ALL CONTRACTED CLIENTS ARE EXPECTED TO BE IN GOOD STANDING AND HAVE A DIRECT BANKING AND BANKER RELATIONSHIP WITH THE ISSUING, HOST OR SENDING BANK. WHEN REQUESTED THE CLIENT SHOULD BE ABLE WITHOUT DELAY TO PROVIDE FULL CONTACT INFORMATION OF HIS DIRECT-RELATIONSHIP BANKER. THE PLATFORM DOES NOT HAVE INTEREST WITH THE CLIENT USING A 'PROVIDER' OR SOME 'FACILITATOR' OUTSIDE OF THE BANK, WHICH EVENTUALLY WILL LEAD TO PROVIDING AN INVALID INSTRUMENT OR COPIES OF A PURPORTED SWIFT THAT IS NOT ACTUALLY SENT PROPERLY FROM THE STATED BANK OR FOUND IN THE SYSTEMS. IT IS IMPORTANT TO UNDERSTAND THAT THE CLIENT SIGNATORY IS RESPONSIBLE AND ACCOUNTABLE FOR PERFORMING ON THE COMMITMENTS, PROGRAMMING AND RECEIVING ARRANGED ON THEIR BEHALF. A CLIENT SHOULD NOT APPLY OR SIGN THE PROGRAM CONTRACT IF NOT ABSOLUTELY CERTAIN OF THEIR OWN DIRECT BANKING RELATIONSHIP AND CAPABILITY.



ENGAGEMENT



CLIENT TEAMS AND PRINCIPALS MUST RECOGNIZE THAT PLATFORM-SPECIFIED MATERIAL, INSTRUCTIONS, COMMUNICATIONS AND PROCEDURES ARE NOT FLEXIBLE OR NEGOTIABLE, AND HAVE BEEN DEVELOPED FROM WORKING OVER TIME WITH A RANGE OF STRUCTURED PROGRAMMING, CLIENTELE, PROPRIETARY RELATIONSHIPS, COMMITMENT HOLDERS, RECEIVING BANKING RESOURCES, ETC. ONLY THE MOST SERIOUS AND COOPERATIVE APPLICANT SHOULD APPLY TO PARTICIPATE. ONCE A CLIENT HAS INDICATED A READINESS TO TRANSACT AND HAS EXECUTED CONTRACT IT NECESSARILY WILL BE EXPECTED THAT THE ENGAGED CLIENT STRICTLY ADHERE IN TIMELY MANNER TO ALL PROCEDURES AND INSTRUCTIONS WITHOUT DEVIATION, REQUEST NO SPECIAL ADJUSTMENTS, NO SPECIAL ADDITIONAL MATERIAL, CAUSE UNREASONABLE DELAYS, UNDUE ATTENTION OR DEMANDS, NO ALTERATION TO STANDARD PROGRAMMING OR ADVANCE PROVISIONS, AND REMAIN COOPERATIVE. SHOULD A CLIENT TEAM ACT OTHERWISE THAN PROFESSIONALLY EXPECTED, BE ADVISED THAT COMPLIANCE AND PROGRAM MANAGEMENT HAVE A RESPONSIBILITY TO DECIDE WHETHER TO CANCEL OR TERMINATE THE SUBJECT TRANSACTION IN ORDER TO AVOID A PROBLEMATIC ISSUE THAT MAY CAUSE NEGATIVE IMPACT ON THE PLATFORM AND ITS PARTNERS, THE CLIENT, PROGRAMMING, ARRANGEMENTS AND COMMITMENTS, RECEIVING AND BANKING, AND TO OTHER ENGAGED CLIENTS BENEFITING FROM SHARED PLATFORM STRUCTURE AND RESOURCES.





CONCERNING PROGRAMMING NOT INCLUDED

PLEASE NOTE THAT IT IS NOT POSSIBLE TO INCLUDE EVERY PROGRAMMING OPTION OR CONSIDERATION THAT MAY BE AVAILABLE ON A CASE-BY-CASE BASIS. ACCORDINGLY, AN APPLICANT MAY SUBMIT AN ENQUIRY FOR SPECIFIC NEEDS OR CASE SERVICING.



GENERAL INSIGHT BLOCKED FUNDS VS. INSTRUMENTS

BLOCKED FUNDS SWIFT (MT-760 AND MT-799) PROGRAMS FOR CASH FUNDS HAVE **NOT** BEEN INCLUDED IN THIS MEMO, AS THE INDUSTRY'S SELECT AND OPERATIVE PROGRAM RECEIVING, FUNDERS, MONETIZER AND COMMITMENT HOLDERS SIGNIFICANTLY PRIORITIZE TRANSACTIONS UTILIZING BANK INSTRUMENTS, TRANSFERS OR SECURITIES, SPECIFICALLY SUCH AS SBLC, BG, MTN, TREASURIES, ETC.

THE KNOWLEDGEABLE AND SERIOUS CLIENT HAVING OWNERSHIP AND CONTROL OVER THEIR OWN ACCOUNT HOLDING CASH FUNDS IS TYPICALLY DIRECTING THEIR RELATIONSHIP BANKER TO ISSUE A BANK INSTRUMENT (SBLC, BG OR MTN) THAT MAY BE UTILIZED IN THE PROGRAM, AND TRANSACTING BY ONE OF THE VARIOUS NON-SWIFT OR SWIFT OPTIONS. SIMPLY, IF A CLIENT HAS UNRESTRICTED CASH FUNDS AND CONTROL OVER THE ACCOUNT, THEN THE CLIENT VERY EASILY CAN DIRECT HIS RELATIONSHIP BANKER TO ISSUE A BANK INSTRUMENT AGAINST FUNDS ON ACCOUNT FOR A SPECIFIC ONE--YEAR PERIOD. FOR EXAMPLE, THE CLIENT'S CASH ON ACCOUNT MAY BE USED AS COLLATERAL FOR A CASH-BACKED SBLC THAT HIS BANK WILL ISSUE AND DELIVER. IF POSSIBLE, MTN ARE GREATLY PREFERRED. USING A BANK INSTRUMENT PROTECTS BOTH THE CLIENT AND THE BANK ACCOUNT AND IS PREFERRED BY THE TRADING PLATFORMS. THUS, SUCH A CLIENT IS GIVEN PREFERENTIAL PRIORITY, AND PROGRAMMING CONTRACT WOULD BE ISSUED WITHOUT DELAY, WITH INSTRUCTIONS AND RECEIVING COORDINATES IMMEDIATELY AVAILABLE. FOR CLIENT THAT ABSOLUTELY FEEL THEY MUST USE ONLY THE CASH ACCOUNT, THEN A SUB-ACCOUNT SERVICING OPTION HAS BEEN PROVIDED.



ON OCCASION, SPECIAL CASE OPTIONS MAY BE AVAILABLE THAT ARE NOT SPECIFIED IN THIS INFORMATIONAL RELEASE, SUCH AS: CUSTOM MANAGED BUY/SELL ARRANGEMENTS; ACCEPTANCE OF CERTAIN SPECIFIC BANK INSTRUMENTS OR PROTOCOL TYPES; SPECIAL SPONSORED BLOCKED OR CASH FUNDS PROGRAMMING FOR VERY SELECT CLIENTS, ACCESSING PROPRIETARY PARTNERS, ETC.

SUBMIT AN ENQUIRY FOR SPECIFIC NEEDS / CASE SERVICING.



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